

AIP Conference Proceedings: *Sample References for Authors*

Dear Author

These guidelines are intended to help prepare your references. We've included a range of examples and hope they are useful to you.

Thank for taking the time to read them.

Best wishes

The AIP Conference Proceedings team

Introduction

Due to the wide range of disciplines published in AIP Conference Proceedings we do not mandate either the Vancouver or Harvard system of references. Authors are free to use either system according to personal preference, experience or requirements/traditions of their field. We ask authors to ensure that all references are accurate, complete and correctly punctuated and styled. In particular, please ensure the appropriate use of bold or italic styling for individual components of the reference as demonstrated in the examples.

Journal references

Vancouver system

By number, in the order of first appearance, giving the names of the authors, the journal name, volume, first page number only or page range or CID number, and year as in:

- [1] Q. Zhao, H. Z. Zhang, Y. W. Zhu, S. Q. Feng, X. C. Sun, J. Xu, and D. P. Yu, Appl. Phys. Lett. **86**, 203115 (2005).
- [2] H. R. Schober, C. Oligschleger, and B. B. Laird, J. Non-Cryst. Solids **156–158**, 965 (1993).
- [3] T. Kawamura, H. Uchiyama, S. Saito, H. Wakana, T. Mine, M. Hatano, K. Torii, and T. Onai, Tech. Dig. - Int. Electron. Device Meet. **2008**, 77.

Harvard system

In alphabetical order according to the first author's last name, giving, in addition to the name, volume, year, and page range, also the title of the paper cited, as in:

Bargmann, V., "On the number of bound states in a central field of force," Proc. Natl. Acad. Sci. USA **38**, 961–966 (1952).

General journal examples (assuming the Vancouver system)

- Same authors and same journal. Include all author names in first entry and use semicolon to separate entries. Use "ibid" in place of the author names and journal title followed by page number, and year:

[4] Donald Spector, J. Math. Phys. **49**, 082101 (2008); *ibid.* **39**, 1919 (1998).

- Different authors and same journal. Include all author names and use semicolon to separate entries. Use “*ibid*” in place of the journal title followed by volume number, page or CID number, and year:

[5] S. Stellmer, M. K. Tey, B. Huang, R. Grimm, and F. Schreck, *Phys. Rev. Lett.* **103**, 200401 (2009); Y. N. M. de Escobar, P. G. Mickelson, M. Yan, B. J. DeSalvo, S. B. Nagel, and T. C. Killian, *ibid.* **103**, 200402 (2009).
- Different authors, same journal, and same volume. Include all author names and use semicolon to separate entries. In the second entry use “*ibid*” in place of the journal title and volume number followed by page number.

[6] S. L. Schmidt, *Phys. Plasmas* **2**, 345 (1995); G. Fogaccia and F. Romanelli, *ibid.*, 227.
- Articles “submitted to” or “accepted for publication” (but not yet published) in a journal: Must include authors’ names and the title of the article:

[7] W. Morris-Schmidt, “A theory for scrape off layer phenomena,” *Appl. Phys. Lett.* (submitted).
- Articles “in press” must include title of article and title of journal:

[8] L. M. Lin and P. T. Lai, “Improved high-field reliability for a SiC metal–oxide–semiconductor device by the incorporation of nitrogen into its HfTiO gate dielectric,” *J. Appl. Phys.* (in press).
- Unpublished articles. Must include authors’ names and article title. Journal name is not required:

[9] G.-H. Lee, R. Cooper, S. Joo An, S. Lee, A. van der Zande, N. Petrone, A. G. Hammerberg, C. Lee, B. Crawford, W. Oliver, J. W. Kysar, and J. Hone, “A theory of relativity” *J. Appl. Phys.* (unpublished).
- Private communication: Must include the year in which the communication took place:

[10] A. Einstein (private communication, 1954).

Books, reports and proceedings references

The following examples assume a Vancouver system.

- **List authors and editors.** Must include publisher and year of publication, and the page numbers (unless the entire book is being cited).

[11] O. L. Wheeler, in *Plasma Diagnostics Techniques*, edited by R. H. Huddleston and S. L. Leonard (Academic, New York, 1965), p. 167.

[12] M. Meyyappan and M. K. Sunkara, *Inorganic Nanowires: Applications, Properties and Characterization* (CRC, Boca Raton, FL, 2010).

[13] H. Morgan and N. G. Green, *AC Electrokinetics: Colloids and Nanoparticles* (Research Studies Press, Baldock, Hertfordshire, England, 2003). p. 529
- **Government publications:** Format as for a book citation. Each must include the author(s), title of the publication, name of the publisher, city and year of publication, and page numbers (unless the entire publication is being cited).

[14] D. Nunes, *The Brillouin Effect* (U.S. Department of Energy, Washington, DC, 1992).

- **Conference proceedings:** Include the list of authors, the title of the proceedings, the city and year of the conference, the name of the publisher (cannot be a laboratory or institution), city and year of publication (or the words —to be published), and the page numbers. Include the full list of editors, if they are given.

[15] S. L. Smith, D. Nunes, J. Doe, R. Setlock, A. J. Niedermeyer, B. C. Schaffstall, *Proceedings of Invited Papers, 4th International Conference on Plasma Physics*, Omsk, 1986, edited by I. I. Ivanovich (World Scientific, Singapore, 1987), Vol. 1, p. 23.

[16] G. Maroulis, *Atoms, Molecules and Clusters in Electric Fields* (Imperial College Press, London, 2006). H. Kurata, H. El-Samad, T.M. Yi, M. Khammash, and J. Doyle, "Feedback regulation of the heat shock response in *E. coli*," in *Proceedings of the 40th IEEE Conference on Decision and Control (2001)* (IEEE, 2001), Vol. 1, pp. 837–842.

[17] K. Nakamura, T. Saishoji, and J. Tomioka, *Semiconductor Silicon 2002*, The Electrochemical Society Proceedings Series, edited by H. R. Huffetal (Pennington, NJ, 2002), p. 554.

- **Laboratory report:** May only be used if first deposited with a national depository such as the National Technical Information Service. (Check with the NTIS librarian at 703-605-6000.) Materials or reports in electronic form—codes, data tables, etc.—may be uploaded as supplemental material files. If the paper is on deposit with NTIS, use the following format:

[18] See National Technical Information Service Document No. DE132450 L. (R. Newchuck, SESAME Tables, LANL Rep. 23453, 1983). Copies may be ordered from the National Technical Information Service, Springfield, VA 22161.

- **Report:** Include authors' names, report number, and year.

[19] S. Casalbuoni, H. Schlarb, B. Schmidt, P. Schmuser, B. Steffen, and A. Winter, TESLA Report 2005-01 (2005).

Miscellaneous reference types

The following examples assume a Vancouver system.

- **Software manuals:** If published, use the book format; if not published, give the entire address for the software maker.

[20] C. M. Western, PGOPHER, a program for simulating rotational structure, University of Bristol, UK, see <http://pgopher.chm.bris.ac.uk>

[21] K. Hermann and L. G.M. Pettersson, StoBe software V. 3.6, deMon Developers Group, 2011, see <http://www.fhi-berlin.mpg.de/KHsoftware/StoBe/>.

- **MOLPRO:**

[22] H.-J. Werner, P. J. Knowles, R. Lindh, F. R. Manby, M. Schütz, *et al.*, Molpro, version 2006.1, a package of *ab initio* programs, 2006, see <http://www.molpro.net>.

- **Thesis/dissertation:** Include the author, institution and year. Use the title if available.

[23] S. L. Goldschmidt, Ph.D. thesis, University of California, Los Angeles, 1985.

- **Web sites:** It is permissible to include web sites as adjuncts to acceptable references.

[24] K. Azad, H. T. Chen, X. Lu, J. Gu, N. R. Weisse-Bernstein, E. Akhadov, A. J. Taylor, W. Zhang, and J. F. O'Hara, *Terahertz Sci. Technol.* **2**, 15 (2009), available at <http://www.tstnetwork.org/March2009/tst-v2n1-15Flexible.pdf>.

- **Patents:** Include name, patent number, and year. Include titles if available. Patent pending—may include date of submission and number assigned.

[25] M. F. Fossey, J. C. Stover, and L. D. Clementi, U.S. patent 6118525 (2000).

[26] E. Morita, Japanese unexamined patent application publication no. H11-284038 (1999).

- **Preprints/E-print:** Include names, preprint number, and year.

[27] T. Prüstel and M. Meier-Schellersheim, preprint arXiv:1212.3628v1 [math-ph] (2012).

- **Supplementary material:** Include link to deposit and brief explanation.

[28] See supplementary material at <http://dx.doi.org/10.1063/1.4794161> for the list of assigned transitions of the ortho Ar-D₂O van der Waals complex bands.